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36284

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE


APPLICANTS: Lynn Y. Liu, Xiaomei Guan, Michael E. Hayden, and David Ling
SERIAL NO.: 09/467,551
FILING DATE: December 10, 1999
TITLE: Internet Settlement System
EXAMINER: Nga B. Nguyen
GROUP ART UNIT: 3628
ATTY. DKT. NO.: 20578-08080

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APR 29 2004
GROUP 3600

CERTIFICATE OF MAILING

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Dated: April 23, 2004

By: 
Jae Won Song, 37 C.F.R. §10.9(b)

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

AMENDMENT C AND RESPONSE UNDER 37 C.F.R. §1.111

Sir:

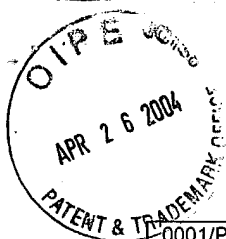
This amendment for the patent application identified above is in response to the Office Action dated December 24, 2003, which set a shortened statutory period for response that expired on March 24, 2004. Applicants hereby petition for a 1-month extension under 37 C.F.R. 1.136(a). The \$110 fee under 37 C.F.R. §1.17(a) for a one-month extension is enclosed herewith.

04/27/2004 AADDF01 00000035 09467551
03 FC:1251

110.00 OP

Kindly amend this application as indicated herein.

04/27/2004 AADDF01 00000035 09467551
01 FC:1201
02 FC:1202
258.00 OP
162.00 OP



+

0001/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office	
TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application)		Application Number	09/467,551
		Filing Date	December 10, 1999
		First Named Inventor	Lynn Y. Liu
		Group Art Unit Number	3628
		Examiner Name	Nga B. Nguyen
Total Number of Pages in This Submission	19	Attorney Docket Number	20578-08080

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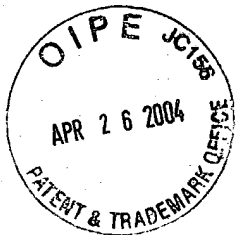
ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input type="checkbox"/> Copies of IDS Cited References	<input checked="" type="checkbox"/> Copy of document entitled "Limited Recognition Under 37 CFR §10.9(b)"
<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/>
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/>
<input checked="" type="checkbox"/> Amendment C / Response: [15] Page <input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>

REMARKS:

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SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Jae Won Song, 37 C.F.R. §10.9(b)	Dated:	April 23, 2004

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Signature:			
Typed or Printed Name:	Jae Won Song	Dated:	April 23, 2004
Express Mail Mailing Number (optional):			



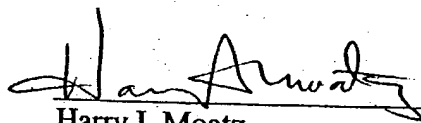
BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Jae Won Song is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fenwick & West, LLP, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fenwick & West, LLP, and a registered practitioner, who is a member of the law firm of Fenwick & West, LLP, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Jae Won Song ceases to lawfully reside in the United States, (ii) Jae Won Song's employment with the law firm of Fenwick & West, LLP, ceases or is terminated, or (iii) Jae Won Song ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: June 3, 2004


Harry I. Moatz
Director of Enrollment and Discipline

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FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$ 530.00

Complete if Known

Application Number 09/467,551
Filing Date December 10, 1999
First Named Inventor Lynn Y. Liu
Examiner Name Nga B. Nguyen
Art Unit 3628
Attorney Docket No. 20578-08080

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APR 29 2004

GROUP 3600

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:

Deposit Account Number 19-2555

Deposit Account Name Fenwick & West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
31	-22** = 9	18.00	162.00
7	-4** = 3	86.00	258.00

Multiple Dependent

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 420.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	110.00
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3) \$ 110.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)

Jae Won Song

Registration No.
(Attorney/Agent)

37 C.F.R. §10.9(b)

Complete (if applicable)

Telephone: (650) 335-7164

Signature

Jae Won Song

Date

April 23, 2004